

#### Editor's note:

“Palliative Radiotherapy Column” features articles emphasizing the critical role of radiotherapy in palliative care. Chairs to the columns are Dr. Edward L. W. Chow from Odette Cancer Centre, Sunnybrook Health Sciences Centre in Toronto and Dr. Stephen Lutz from Blanchard Valley Regional Cancer Center in Findlay, gathering a group of promising researchers in the field to make it an excellent column. The column includes original research manuscripts and timely review articles and perspectives relating to palliative radiotherapy, editorials and commentaries on recently published trials and studies.

#### Original Article on Palliative Radiotherapy

## Assessment of quality of life in phase III trials of radiotherapy in localized or locally advanced head and neck cancer over the past 17 years

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**Background:** We investigated the extent to which health-related quality of life (HRQOL) parameters have been used as endpoints in recent phase III trials on radiotherapy for head and neck cancer, as well as the frequency and correlates of significant HRQOL gains.

**Methods:** Using the medical subject headings “head and neck neoplasms” and “radiotherapy”, we searched PubMed for the main paper reporting phase III trials published between 1/1999 and 12/2015 in 16 leading journals.

**Results:** We found 88 trials that fulfilled the selection criteria (32,707 patients/191 trial arms). HRQOL was listed as an endpoint in 21.3% of trials. HRQOL comparisons between groups were reported in only 12 trials, with statistically significant differences between HRQOL parameters in only three studies, two of which favored the experimental arm.

**Conclusions:** HRQOL has been infrequently investigated in phase III trials of radiotherapy in head and neck cancer, typically with no significant differences found between groups.

**Keywords:** Disease-free survival; head and neck neoplasms; quality of life (QOL); radiotherapy; survival analysis

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## Introduction

Head and neck cancer is among the most common tumor types worldwide, with 543,941 new cases and 228,729 deaths from lip, oral cavity, larynx and nasopharynx cancers estimated for 2012 (1). In the United States, oral cavity and pharynx tumors account for 2.4% of all malignancies, with approximately 49 thousand new cases and 9.5 thousand deaths estimated every year (2). Standard therapy for head and neck cancer has evolved considerably over the past 15 years, with major advances in terms of our ability to provide local control, organ preservation and improvement of survival through the use of combined-modality therapy and targeted agents (3-12). However, depending on the stage, primary site and pattern of spread, head and neck tumors can cause various degrees of structural deformities and functional handicaps, compromising patient comfort, social integration, and quality of life (QOL) (13,14). Moreover, treatment for head and neck cancer can induce mutilation and further compromise patient functioning, thus worsening QOL.

Over the past years, health care has gradually broadened its effort to comprise patient well-being and health-related quality of life (HRQOL) as essential outcomes, especially in medical oncology (15). The primary aim of randomized controlled trials is usually to assess the efficacy of interventions through the use of endpoints such as objective response rates, disease-free or progression-free survival, and overall survival (OS). Nevertheless, progressively more attention has been paid to improving the way patients live during cancer treatment (16,17). Although HRQOL evaluation has several potential values and repercussions for research and for clinical practice, the role of HRQOL data to support the selection of therapy for head and neck cancer is still unclear. In the current study, we sought to investigate the extent to which QOL parameters have been used in recent studies on head and neck cancer, as well as the frequency and correlates of significant QOL gains.

## Methods

### *Search strategy*

We used the medical subject headings 'head and neck neoplasms' and 'radiotherapy' to search PubMed for the main paper reporting phase III trials published in English language between 1/1999 and 12/2015 in 16 leading journals that publish results of most of the clinical trials in this field (*Annals of Oncology*, *Archives of Otolaryngology-*

*Head & Neck Surgery*, *British Journal of Cancer*, *Cancer*, *Clinical Cancer Research*, *European Journal of Cancer*, *Head & Neck*, *International Journal of Radiation Oncology, Biology, and Physics*, *Journal of Clinical Oncology*, *Journal of the National Cancer Institute*, *Oral Oncology*, *Radiotherapy & Oncology*, *The Lancet*, *The Lancet Oncology*, *The Laryngoscope*, and *The New England Journal of Medicine*).

We focused on studies for which radiation therapy had been an important component of treatment in at least one of the arms. We excluded papers reporting exclusively on esophageal cancer, those investigating topical or surgical therapies as the main research variable, those in which patients were randomized after completion of the main therapy, those on retreatment or reporting combined analysis of trials already selected for analysis, those on preliminary or long-term results of trials whose main paper was already selected (when the main paper was not in the 17-year period chosen for analysis, the preliminary or long-term-results paper was kept), and those on correlative biology or prognostic factors in isolation from the main trial results. We excluded randomized trials with no stated phase in the title or abstract if the number of evaluable patients per arm was <100. Since our objective was to investigate the results of studies that are likely to impact clinical practice given their publication in broadly read periodicals, no effort was made to control for publication bias.

### *Collection of HRQOL data*

For each study identified, we abstracted the overall features of the trial (such as number of patients and arms, along with treatment type and line) and data on the use of endpoints, including HRQOL parameters. Regarding HRQOL as an endpoint in the trials, we first attempted to recognize any mention in the article of HRQOL data collection during the study, or, when no such information was available, the presence of a companion article with HRQOL analysis independently. When HRQOL was a trial endpoint, we collected data on the instruments used for HRQOL analysis, evaluating whether there was formal statistical comparison between study arms and the results of such comparisons as informed by the authors of the article. Lastly, we evaluated whether the HRQOL analysis was cited in the abstract of the articles.

### *Statistical analysis*

Fisher's exact test and the Mann-Whitney test, considering

**Table 1** Main characteristics of 88 phase III trials in head and neck cancer published between 1999 and 2015

Characteristic	N	Percent (%)
Journal of publication		
<i>Journal of Clinical Oncology</i>	23	26.1
<i>International Journal of Radiation Oncology Biology Physics</i>	19	21.6
Other journals	46	52.3
Disease setting		
Localized ± locally advanced	31	35.2
Locally advanced	56	63.6
Unknown or not available	1	1.1
Differences in interventions		
Same radiotherapy in all study arms, with differences in other interventions	40	45.5
Different radiotherapy in study arms	33	37.5
Groups differed by more than one intervention	15	17.0
Primary endpoint		
Local control, disease-free or progression-free survival	40	45.5
Overall survival	27	30.7
Others or more than one	21	23.9

a two-sided significance level of 5%, were used to compare categorical and continuous variables between groups of studies, respectively.

## Results

### *Characteristics of the studies*

Our search identified 88 phase III trials that were eligible for analysis, and their most relevant characteristics are displayed in *Table 1*. Such studies enrolled a total of 32,707 evaluable patients in 191 trial arms. The median number of evaluable patients per study was 263 (range, 58 to 1,485), and the median number of patients per arm was 144 (range, 30 to 743). Twenty-six studies included patients with nasopharyngeal carcinoma, in 20 cases in an exclusive fashion. The primary endpoint was related to local control in 40 trials and to OS in 27 cases; for other trials, miscellaneous primary or co-primary endpoints were used. Of note, HRQOL was never used as primary endpoint.

### *Analysis of HRQOL*

The assessment of HRQOL was reportedly performed

in 20 trials (22.7%), whose main features are shown in *Table 2* (5,9,18-35). For these trials, HRQOL was always a secondary endpoint, and the primary endpoint was related to local or locoregional control in seven trials and to OS in four trials. There was no significant difference in the median sample size of studies with or without HRQOL assessment (344 vs. 298 patients;  $P=0.400$ ). Also, there was no statistically significant trend for reporting of HRQOL when studies from the first 9 years (20.4%) were compared with those from the second 8 years, considering date of publication (25.6%;  $P=0.614$ ).

Comparisons of HRQOL parameters within a trial were reported in 17 of 20 trials. Statistically significant differences between such parameters were reportedly found in only six studies, four of which favoring the experimental arm. Given the low number of studies with significant QOL differences, we explored no correlates of such finding.

## Discussion

The severity of problems associated with head and neck cancer and its treatment is related to the therapeutic modalities used and the anatomic site and extent of the

**Table 2** Main characteristics of trials reporting on health-related quality of life (HRQOL)

First author	Study arms	Primary endpoint	Instruments used	HRQOL comparison between groups	HRQOL difference
Steuer-Vogt (18)	S; S + ML; S + CRT; S + CRT + ML	Disease-specific survival	QLQ-C30	Yes	No
Poulsen (19)	CRT; ART	Disease-free survival	Scale from 0 (worst) to 10 (best)	Yes	Yes
Staar (20)	HF-ACC-RCT; HF-ACC-RT	1-year survival with local control	QLQ-C30; QLQ-H&N-35	Yes	No
Forastiere (5)	PF + RT; CRTQT; CRT	Preservation of the larynx	FACT-HN; UWQLI	Yes	No
Bairati (21)	RT + AV; RT	Second primary cancers	QLQ-C30	Yes	No
Wee <sup>a</sup> (22)	CRT; CRTQT	Overall survival	Q-TWiST	Yes	Yes
Semrau (23)	HF-ACC-RCT; HF-ACC-RT	Overall survival	QLQ-C30; QLQ-H&N-35	No	No
Ryu (24)	CRT; CRT + GM-CSF	Severity and duration of acute mucositis	UWQLI	Yes	No
Halyard (25)	RT + ZS; RT	Taste alterations	NR	Yes	No
Vermorken <sup>a</sup> (9)	PF + RT; TPF + RT	Progression-free survival	QLQ-C30; QLQ-H&N-35	Yes	Yes
Lefebvre (26)	RTQT; RTQT	Survival with a functional larynx	NR	Yes	No
Rasch (27)	IACRT; IVCRT	Locoregional control	QLQ-C30; QLQ-H&N-35	Yes	No
Rischin (28)	CRTQT; CRTQT + TPZ	Overall survival	FACT-HN	Yes	No
Nutting (29)	2D-RT; IMRT	Xerostomia grade 2 or worse	QLQ-C30; QLQ-H&N-35	Yes	Yes
Zackrisson (30)	CRT; ART	Locoregional control	QLQ-C30; QLQ-H&N-35	Yes	Yes
Janssens (31)	ART; ART + ARCON	Local control	–	–	–
Rishi (32)	RT + boost; RTQT	Disease-free survival	UWQLI	Yes	Yes
Ang (33)	ART + QT; ART + QT + Cet	Progression-free survival	–	–	–
Tan (34)	GCP + RTQT; RTQT	Overall survival	QLQ-C30; QLQ-H&N-35	Yes	No
Harrington (35)	S + RTQT; S + RTQT + Lap	Disease-free survival	FACT-HN	Yes	No

<sup>a</sup>, HRQOL data was or would be reported in a separate paper. ART, accelerated radiotherapy regimen; AV, antioxidant vitamins; CRT, conventional radiotherapy; CRTQT, conventional radiotherapy plus chemotherapy; FACT-HN, Functional Assessment of Cancer Therapy-Head and Neck score; GM-CSF, granulocyte-macrophage colony stimulating factor; HF-ACC-RCT, hyperfractionated accelerated radiochemotherapy; HF-ACC-RT, hyperfractionated accelerated radiotherapy; IACRT, intra-arterial chemoradiation; IVCRT, intravenous chemoradiation; ML, mistletoe lectin-1; NR, not reported; PF, cisplatin and fluorouracil; QLQ-C30, European Organisation for Research and Treatment of Cancer Quality of Life-score 30; QLQ-H&N-35, European Organization for Research and Treatment of Cancer (EORTC) Head and Neck Quality of Life-score 35; QT, chemotherapy; Q-TWiST, Quality-adjusted time without symptoms or toxicity; RT, radiotherapy; S, Surgery; TPF, cisplatin, fluorouracil and docetaxel; TPZ, tirapazamine; UWQLI, University of Washington Quality of Life Instrument; ZS, zinc sulfate; 2D-RT, conventional radiotherapy; IMRT, intensity-modulated radiotherapy; ARCON, carbogen inhalation and nicotinamide; Cet, cetuximab; GCP, Gemcitabine, carboplatin and paclitaxel; S, surgery; Lap, Lapatinib.

disease (36). Since the majority of patients are diagnosed with locally advanced disease, treatment tends to be aggressive, with potential for significant acute and long-

term adverse effects. The assessment of HRQOL has become an important component of clinical cancer research, and HRQOL endpoints have gained increased

use in clinical trials (17). There are several instruments that aim at analyzing HRQOL specifically in patients with head and neck cancer (37-42). The use of validated HRQOL instruments may allow a better understanding of the toxicity of head and neck cancer treatment. Moreover, the assessment of HRQOL in cancer patients may theoretically facilitate selection among different treatment choices, and even serve as a prognostic.

Several authors have investigated the relationship between HRQOL and regional control or OS in patients with head and neck cancer (43-46). Investigators from the Radiation Therapy Oncology Group (RTOG) have analyzed prospectively collected HRQOL data from patients enrolled in two RTOG randomized phase III trials to assess their value as an independent prognostic factor for locoregional control and/or OS (45). Baseline Functional Assessment of Cancer Therapy-Head and Neck (FACT-H&N) were found on multivariate analysis to independently predict locoregional control but not OS. Meyer *et al.* have conducted a study of 540 patients, using the European Organisation for Research and Treatment of Cancer Quality of Life Questionnaire C30 and Head and Neck Radiotherapy Questionnaire (46). That study suggested that the baseline physical functioning score was an independent predictor of OS among patients with localized head and neck cancer treated with radiation therapy, and similar results have been reported by Fang *et al.* (43) and Karvonen-Gutierrez *et al.* (44).

Despite the possible benefits of measuring HRQOL scores in patients with head and neck cancer, the results of non-randomized studies and retrospective analyses must be interpreted cautiously. The current study shows that HRQOL endpoints have been used in approximately 21% of contemporary phase III trials in head and neck cancer. In most of the trials in which such endpoints were used, formal HRQOL statistical comparisons between groups were undertaken, but significant differences between groups were found in only three of 12 trials. Our study suffers from limitations, the most important of which being the fact that publication bias was not controlled for, as we have only analyzed papers published within a limited period of time in selected medical journals. By using this study design, we could not ascertain whether unpublished studies have used HRQOL endpoints or found statistically significant differences between groups with different rates than those reported herein. A second important limitation of the current work is the joint analysis of all types of HRQOL assessment, regardless of the instruments used or HRQOL

domains analyzed, as this type of analysis was beyond our scope. It is possible that a more in-depth evaluation of HRQOL results in head and neck cancer might provide important information regarding the differential performance of specific HRQOL instruments or modes of analysis.

These limitations notwithstanding, we believe our study design allows for an overview of contemporary practice in HRQOL research in head and neck cancer, since the journals analyzed currently publish most of the randomized clinical trials in this setting. As a result, we believe our results indicate that HRQOL has been infrequently investigated in phase III trials of radiation therapy in head and neck cancer. In breast cancer, for example, a study using a similar methodology has found that HRQOL was assessed in 40% of recent phase III trials in breast cancer (47). On the other hand, only a minority of the phase III trials in breast cancer demonstrated a significant difference between groups, a result very similar to those reported in the current study. Thus, both of these studies suggest that although HRQOL is one of the key indicators of treatment benefit in oncology, contemporary systemic therapies do not appear to affect HRQOL differentially. While the reasons for this latter finding are uncertain, it appears that more efforts are needed in order to understand the role of HRQOL assessment in phase III trials of radiotherapy for head and neck cancer.

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### Footnote

*Conflicts of Interest:* Identification of meetings at which the manuscript was presented at ASCO Annual Meeting, 2011, Chicago. J Clin Oncol 2011;29 suppl:e19524.

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